

1 **Thermoresponsive systems composed of poloxamer 407 and HPMC or NaCMC:**
2 **mechanical, rheological and sol-gel transition analysis**

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22 **ABSTRACT**

23 Poloxamer 407 (polox407) is widely studied as thermogelling polymer, transitioning to
24 a gel state when warmed. Polox407 has the limitation that it forms weak hydrogels, with
25 rapid dissolution in excess solvent. This study reports the development of new binary
26 polymeric systems composed of polox407 and hydroxypropyl methylcellulose (HPMC)
27 or sodium carboxymethylcellulose (NaCMC) with an aim to improve the rheological
28 and mechanical properties of the hydrogel. The interaction between polox407 and
29 cellulose derivatives was studied, and their interaction with biological surfaces
30 predicted. The carbohydrates affected the mechanical and rheological behavior of
31 polox407 in different ways, dependent on polymer type, concentration, and temperature.
32 $T_{sol/gel}$ and rheological interaction parameters were useful to select the most suitable
33 formulations for topical or local application, ensuring *in situ* gelation and the formation
34 of a strong gel. Most of the binary systems exhibited plastic behavior, with thixotropic
35 and viscoelastic properties. Appropriate formulations were identified for local
36 application, such as 17.5/3; 17.5/4; 20/3 and 20/4 (% w/w) for polox407/HPMC; and
37 17.5/1; 17.5/1.5; 20/1 and 20/1.5 (% w/w) for polox407/NaCMC.

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40 *Keywords:* hydrogel; cellulose derivatives; poloxamer 407; rheology; mechanical
41 characterization.

42 **1. Introduction**

43 Polymer blends provide a simple approach to combine the advantages of
44 different polymers, for example adhesiveness and environmental responsiveness
45 (Barbosa et al., 2017; Borghi-Pangoni et al., 2015; Bruschi et al., 2007; Jones et al.,
46 2009; Liu, Lin, Li, & Liu, 2005). Thermoresponsive gelling systems have been widely
47 studied over the years for drug delivery, mainly due to their ease of application,
48 spreadability and retention at the application site (de Francisco et al., 2019; Ramírez
49 Barragán et al., 2018). Moreover, where these thermoresponsive materials are
50 composed of nanostructured micelles derived from amphiphilic block copolymers they
51 may improve solubilization, stabilization and control of drug delivery (Jindal & Mehta,
52 2015).

53 Poloxamer 407 (polox407), a non-ionic triblock copolymers composed of
54 poly(ethylene oxide)₁₀₁-b-poly(propylene oxide)₅₆-b-poly(ethylene oxide)₁₀₁ (PEO-
55 PPO-PEO), is the most commonly used thermogelling material. It is able to form a
56 nanostructured gel system when the temperature is increased (Nie, Hsiao, Pan, & Yang,
57 2011). Polox407 aqueous dispersions above 15 % (w/v) behave as low viscosity fluids
58 at room or fridge temperature, while at body temperature they increase in viscosity due
59 to the formation of a gel mesophase. Raising the environmental temperature triggers a
60 hierarchical process whereby the polymer macromolecules aggregate into micelles with
61 a PPO-hydrophobic core and PEO-hydrophilic shell (Almeida, Amaral, Lobão, & Lobo,
62 2014; Dumortier, Grossiord, Agnely, & Chaumeil, 2006). When the volume fraction of
63 the micelles is sufficiently high, the micelles pack into a face-centered cubic gel (Abou-
64 Shamat, Calvo-Castro, Stair, & Cook, 2019). The presence of micelles also allows the
65 solubilization of a wide range of pharmaceutic actives, since hydrophilic molecules can

66 be kept in the shell or aqueous phase, while hydrophobics can remain into the core (De
67 Souza Ferreira, Bassi da Silva, Borghi-Pangoni, Junqueira, & Bruschi, 2015).

68 In spite of its positive characteristics, polox407 forms weak hydrogels, usually
69 with rapid dissolution (Wu et al., 2011). To improve its properties as a hydrogel former,
70 many polymers have been included in binary mixtures containing polox407, including
71 poly(acrylic acid) derivatives (Andrews, Lavery, & Jones, 2009; Bassi da Silva,
72 Khutoryanskiy, Bruschi, & Cook, 2017; De Souza Ferreira, Bassi da Silva, et al., 2015;
73 De Souza Ferreira, Moço, Borghi-Pangoni, Junqueira, & Bruschi, 2015), polycarbophil
74 (Barbosa et al., 2017), polyvinylpyrrolidone and chitosan (Gratieri et al., 2010; Pagano,
75 Giovagnoli, Perioli, Tiralti, & Ricci, 2020). This approach of mixing polymeric
76 additives with polox407 is attractive as the resultant materials would not require the
77 regulatory burden that a chemical modification would impart (Abou-Shamat et al.,
78 2019).

79 Cellulose is the most abundant polymeric polysaccharide in nature, and one of
80 the most widely used excipients in pharmaceutical industry. It is composed of $\beta(1 \rightarrow 4)$
81 linear chains linked to D-glucose units. Common cellulose derivatives include
82 methylcellulose, ethylcellulose, hydroxyethylcellulose, hydroxypropyl methylcellulose
83 and carboxymethylcellulose, which are good gel formers (Sosnik, Das Neves, &
84 Sarmiento, 2014). They do not present toxicity and are generally preferred for topical or
85 mucosal application as they are also non-irritating polymers (Sosnik et al., 2014).
86 Hydrogels containing polox407 and cellulose derivatives have already been described in
87 the literature, for example combining polox407 with hydroxyethylcellulose (Jones,
88 Woolfson, & Djokic, 1996) or carboxymethylcellulose (Hägerström & Edsman, 2001;
89 Pagano et al., 2020; Wang et al., 2016). The addition of cellulose derivatives to
90 hydrogels with polox407-content aims not only to contribute to the optimization of

91 physical structures, but also to modulate the gelation temperature and rheological and
92 mechanical properties of poloxamer hydrogels (Wang et al., 2016).

93 Polymeric blends containing polox407 and hydroxypropyl methylcellulose
94 (HPMC) or sodium carboxymethylcellulose (NaCMC) have been poorly investigated
95 (Barse, Kokare, & Tagalpallewar, 2016; Pagano et al., 2020; Pandey, Cabot, Wallwork,
96 Panizza, & Parekh, 2017; Wang et al., 2016). Pandey and collaborators, for example,
97 studied polox407 and HPMC in ternary blends with chitosan (Pandey et al., 2017),
98 while Pagano and collaborators explored binary systems containing polox407 and
99 NaCMC, exploring gelation temperature and time, with a single measure of overall
100 viscosity (Pagano et al., 2020). Although there are some studies describing systems
101 composed of these polymers for specific applications in the literature, none of them
102 have conducted a systematic investigation into their rheological and mechanical
103 characteristics (e.g. flow, viscoelasticity, texture and softness profile). There is also no
104 study on synergisms and interactions between these polymers, or on the correlation
105 between these properties over a range of polymer concentration and temperature.

106 Therefore, the aim of this work was to support the use of these systems as
107 thermoresponsive materials with improved retention properties for biomedical
108 applications, mainly as topical pharmaceutical systems. This work describes the
109 rheological and mechanical characterization of polymeric systems composed of
110 polox407 and HPMC or NaCMC and their correlation, in order to understand them
111 fundamentally, including their interaction with biological surfaces, and select the best
112 formulations for biomedical and pharmaceutical applications.

113

114 **2. Materials and methods**

115 *2.1. Materials*

116 Hydroxypropyl methylcellulose (HPMC; type K100, Methocel[®], with
117 hydroxypropoxyl content 8.1% and methoxyl content 22%) was donated from Colorcon
118 Dow Chemical CompanyTM (United Kingdom), with a molecular weight of 29.905 KDa
119 with a polydispersity index (PD) of 1.558 (information described in detail in the
120 supplementary material). Sodium carboxymethylcellulose with a degree of substitution
121 between 0.8 and 0.95, molecular weight of 29.567 KDa and PD=2.094 (supplementary
122 material) was purchased from Synth (Brazil). The cellulose derivatives were also
123 evaluated by ¹H NMR as described in the supplementary information. Poloxamer 407
124 (polox407) was purchased from Sigma-Aldrich (Brazil). Dimethyl formamide HPLC
125 grade was purchased by Fisher Scientific (United Kingdom). Unless specified, all
126 reagents were used without further purification. Purified water was obtained in-house
127 using a Millipore Milli-Q water purification system (Germany).

128

129 *2.2. Preparation of samples*

130 The thermogelling systems were prepared by dispersion of HPMC (2, 3 or 4 %, w/w) or NaCMC (0.5, 1.0 or 1.5%, w/w) in purified water with stirring at room
131 temperature. After complete dispersion of the cellulose derivatives, an appropriate
132 amount of polox407 (15.0, 17.5 or 20 %, w/w) was added to the preparations, and the
133 mixtures were stored at 4 °C for 48 h to ensure complete polymer wetting. Afterwards,
134 the polymeric systems were stirred again to complete dissolution, and kept at 4 °C for at
135 least 24 h before analysis (Bassi da Silva et al., 2017; De Souza Ferreira, Bassi da Silva,
136 et al., 2015; Schmolka, 1972).

138

139 *2.3. Rheology*

140 The rheometry of formulations was performed using a controlled stress
141 rheometer (MARS II, Haake Thermo Fisher Scientific Inc., Germany) with parallel steel
142 cone-plate geometry (35 mm, separated by a fixed distance: 0.052 mm). All the analysis
143 was performed at three temperatures: 5, 25 and 37 °C. The samples were carefully
144 placed to the inferior plate, and allowed to resume their equilibrium for at least one
145 minute before starting the analysis. At least three replicates of each sample were
146 analyzed.

147

148 2.3.1. Continuous shear (flow) analysis

149 Two curves were obtained in flow mode over shear rates ranging from 0 to 2000
150 s⁻¹ and 2000 to 0 s⁻¹. The up curve was measured over 150 s, kept at the high limit for
151 10 s and, then decreased over 150 s (Barbosa et al., 2017; Bassi da Silva et al., 2017; de
152 Francisco et al., 2019). The up curve was fitted by Oswald-de-Waele equation as shown
153 below Eq. (1):

154

$$155 \quad \sigma = k\dot{\gamma}^n \quad (1)$$

156

157 where σ is the shear stress (Pa), k is the consistency index (Pa.s)ⁿ, $\dot{\gamma}$ is the rate of shear
158 (s⁻¹), and n is the flow behavior index (dimensionless). The yield stress values were
159 investigated by the Casson model Eq. (2) (Hemphill, Campos, & Pilehvari, 1993):

160

$$161 \quad \tau = \sqrt[n]{\left(\tau_0^n + (\dot{\gamma}n_p)\right)^n} \quad (2)$$

162

163 where τ represents the shear stress (Pa), n is the flow behavior index (dimensionless), τ_0
164 is yield stress (Pa), $\dot{\gamma}$ is the rate of shear (s⁻¹) and n_p is Casson plastic viscosity.

165 Additionally, the hysteresis area of each formulation was calculated using RheoWin
166 4.10.0000 (Haakes[®]) software.

167

168 *2.3.2. Oscillatory measurements*

169 The viscoelastic properties were assessed in oscillatory mode. Firstly, the linear
170 viscoelastic region (LVR) of each sample was determined at each temperature studied.
171 The frequency sweep analysis was then conducted from 0.1 to 10.0 Hz (Barbosa et al.,
172 2017; Bassi da Silva et al., 2017; de Francisco et al., 2019). The storage modulus (G'),
173 the loss modulus (G''), dynamic viscosity (η') and loss tangent ($\tan \delta$) were obtained
174 using the RheoWin 4.10.0000 (Haakes[®]) software.

175

176 *2.3.3. Interaction parameter*

177 Interaction between polox407 and HPMC or NaCMC was investigated by
178 determining the difference between the absolute value of the dynamic moduli (G') of
179 the polymeric blends and the theoretical value of the G' obtained by summation of the
180 individual parts (Hemphill et al., 1993; Jones et al., 2009). This interaction parameter
181 was determined using the G' values at 10.0 Hz of oscillatory frequency, as shown in Eq.
182 (3).

183

$$184 \quad \Delta G' = G'_{\text{mixture}} - (G'_{\text{poloxamer 407}} + G'_{\text{HPMC or NaCMC}}) \quad (3)$$

185

186 *2.3.4. Measurement of solution-gel transition temperature ($T_{\text{sol/gel}}$)*

187 Determination of $T_{\text{sol/gel}}$ was performed in oscillatory mode using a temperature
188 ramp with the geometry described above. Once the LVR each formulation had been
189 determined at 5 and 60 °C, a temperature sweep analysis was performed over the
190 temperature range of 5-60 °C, at 1.0 Hz frequency, and a heating rate of 10 °C/min
191 using a controlled stress within the LVR. G' , G'' , η' and $\tan \delta$ were calculated using the
192 RheoWin 4.10.0000 (Haakes®) software. The temperature at which the elastic modulus
193 (G') was halfway between the values for the solution and for the gel was defined as
194 $T_{\text{sol/gel}}$, based on the significant increase of dynamic viscosity (η') with increasing
195 temperature (Barbosa et al., 2017; Bassi da Silva et al., 2017; Bruschi et al., 2007; de
196 Francisco et al., 2019).

197

198 *2.4. Texture profile analysis (TPA)*

199 The evaluation of compressional flow was achieved using a TA-XTplus texture
200 analyzer (Stable Micro Systems, Surrey, England) in TPA mode, at 5, 25 and 37 °C.
201 Firstly, ca 16 g of sample was added to glass vials whilst avoiding the introduction of
202 air. Then, the formulations were compressed twice by an analytical probe (10 mm
203 diameter). The compressions were performed at 2 mm/s, to a depth of 15 mm. A delay
204 period of 15 s was allowed between the end of the first compression, and the beginning
205 of the second step. Hardness (maximum force during the compression), compressibility
206 (the work required to deform the formulation during the first pass of the probe),
207 adhesiveness (work required to overcome the attractive forces between the surfaces of
208 the probe/sample), elasticity (ability to stretch and return to its original size and shape),
209 and cohesiveness (work necessary to become united the surface of the sample and the
210 surface of the probe) were calculated from the force-time and force-distance graphs, as

211 demonstrated in Fig. S3 (Barbosa et al., 2017; Bassi da Silva et al., 2017; Bruschi et al.,
212 2007; de Francisco et al., 2019).

213

214 *2.5. Determination of softness*

215 The softness of formulations was assessed by the texture analyzer in
216 compression mode at 37 °C using a perspex conic probe (P/45C). Beakers (50 mL) were
217 filled with the samples avoiding the formation of bubbles. The analytical probe was
218 then compressed into each formulation at 1 mm/s, and to a depth of 10 mm. The
219 maximum required force for the probe to penetrate each sample was calculated as the
220 maximum value in a force-distance graph (Fig. S4), which was designated the softness
221 index (Barbosa et al., 2017; Bruschi et al., 2007; de Francisco et al., 2019).

222

223 *2.6. Statistical analysis*

224 The effects of cellulose derivative type, polymer content and temperature on
225 textural (hardness, compressibility, adhesiveness, elasticity and cohesiveness) and
226 rheological properties (consistency index, flow index, yield stress and hysteresis area)
227 were statistically compared using three-way analysis of variance (ANOVA). Similarly,
228 the effects of polymer concentration and polymer type on softness index were
229 statistically evaluated using two-way ANOVA. In all cases, individual differences
230 between means were identified using Tukey's honestly significant difference test.
231 Additionally, the linear correlation between consistency index and hardness, yield value
232 and hardness, flow behavior index and adhesiveness, as well as, softness at 37°C and
233 hardness or consistency index at 37 °C were determined by linear regression analysis.
234 Statistical comparison of the moduli of polymeric blends and the theoretical modulus
235 following addition of the individual moduli was performed using an unpaired *t*-test.

236 Finally, the paired Student's *t*-test was used to determine if the dynamic viscosity (η')
237 of the formulations increased with rising temperature ($T_{\text{sol/gel}}$). Significant differences
238 were accepted when $p < 0.05$ and Statistica 8.0 software (StatSoft Company, Tulsa,
239 Oklahoma) was used throughout.

240

241 **3. Results and discussion**

242 *3.1. Continuous shear (flow) rheology*

243 The study of rheological properties in polymeric systems can allow
244 understanding of possible interactions among polymers which constitute the systems.
245 This in turn may guide the selection of formulations considered most suitable for a
246 given clinical application. Including the effect of stress variation to which formulations
247 are exposed during the manufacturing process, bottling, storage, unpacking of the
248 product until their behavior at the application site. All this knowledge allows us to
249 predict and avoid the disruption of polymer system structure, improving product
250 stability and therapeutic efficacy (Baloglu, Karavana, Senyigit, & Guneri, 2011;
251 Barbosa et al., 2017).

252 Appropriate flow properties of thermoresponsive bioadhesive polymeric systems
253 ensure ease of administration. In the relaxed mode, the polymer chains are tangled,
254 which gives to the system greater viscosity. During administration, the stress increases
255 in the system, and polymer chains become aligned following the direction of shear,
256 which reduces their apparent viscosity. After application, polymeric systems regain
257 their initial rheological profile. In this sense, formulations exhibiting pseudoplastic flow
258 have better distribution on the applied surface (De Souza Ferreira, Moço, et al., 2015;
259 Jones et al., 2009). Mono-polymeric formulations of polox407 exhibited Newtonian
260 flow behavior at 5 and 25 °C. At 37 °C, and at all concentrations, non-Newtonian

261 pseudoplastic behavior was demonstrated due to the formulations' thermoresponsive
262 properties (Table 1) (Barbosa et al., 2017; Dumortier et al., 2006). Mono-polymeric
263 formulations of HPMC showed pseudo-plastic flow behavior at all the evaluated
264 temperatures and concentrations, i.e. the samples exhibited shear-thinning without yield
265 observed on the flow curve. However, for all binary polymeric systems containing
266 polox407 and HPMC, plastic behavior was observed, i.e. the samples exhibited shear-
267 thinning with a yield observed on the flow curve. The addition of polox407 in HPMC
268 mono-polymeric formulations led to a reduction in the flow behavior index (n), but this
269 reduction did not show statistical significance ($p>0.05$). Starting from polox407 mono-
270 polymeric formulations, the addition of HPMC also led to reduced flow behavior index,
271 which were not statistically different as observed for polox407. Overall, an increase in
272 temperature (5, 25 and 37 °C) reduced the value of n significantly, which is attributed to
273 the gel mesophase exhibiting shear-induced structural rearrangements. A statistical
274 difference was observed between 5 °C and 25 or 37 °C ($p<0.05$), but not between 25
275 and 37 °C ($p>0.05$). The contraction of n is directly related to the structure of the
276 polymeric system. The smaller amount of free water in the system leads to a reduced
277 Newtonian behavior, moving the value further from unity.

278 **Table 1**

279 The effects of concentration of poloxamer 407 (polox407), hydroxypropyl methycellulose (HPMC) and sodium carboxymethylcellulose
 280 (NaCMC) on the consistency index (k) and rheological exponent (n) of binary polymeric systems at different temperatures.

Polymer (% , w/w)			k (Pa.s) ⁿ						n (dimensionless)					
P407	HPMC	NaCMC	5 °C		25 °C		37 °C		5 °C		25 °C		37 °C	
15	-	-	0.018 ± 0.001	0.026 ± 0.001	0.026 ± 0.001	0.2698 ± 0.019	1.031 ± 0.009	1.049 ± 0.006	0.859 ± 0.006					
17.5	-	-	0.024 ± 0.001	0.088 ± 0.003	97.793 ± 2.699	1.014 ± 0.002	1.038 ± 0.014	0.173 ± 0.002						
20	-	-	0.039 ± 0.000	1.667 ± 0.188	163.900 ± 4.574	1.014 ± 0.002	0.762 ± 0.016	0.157 ± 0.007						
-	2	-	0.876 ± 0.001	0.239 ± 0.001	0.111 ± 0.001	0.735 ± 0.001	0.615 ± 0.001	0.471 ± 0.005						
-	3	-	4.898 ± 0.012	1.438 ± 0.001	0.598 ± 0.021	0.842 ± 0.003	0.724 ± 0.002	0.619 ± 0.004						
-	4	-	25.653 ± 1.087	6.538 ± 0.046	3.178 ± 0.012	0.907 ± 0.002	0.811 ± 0.002	0.686 ± 0.001						
15	2	-	0.341 ± 0.001	0.603 ± 0.048	130.232 ± 3.610	0.861 ± 0.001	0.603 ± 0.048	0.179 ± 0.002						
15	3	-	1.087 ± 0.042	0.903 ± 0.071	66.360 ± 0.384	0.759 ± 0.047	0.829 ± 0.017	0.376 ± 0.012						
15	4	-	19.431 ± 1.018	24.661 ± 3.801	115.731 ± 12.689	0.277 ± 0.026	0.425 ± 0.010	0.322 ± 0.031						
17.5	2	-	0.205 ± 0.010	16.663 ± 0.402	130.302 ± 7.349	0.895 ± 0.001	0.505 ± 0.013	0.246 ± 0.013						
17.5	3	-	0.705 ± 0.002	64.357 ± 4.022	138.570 ± 8.345	0.995 ± 0.001	0.299 ± 0.002	0.283 ± 0.012						
17.5	4	-	0.314 ± 0.009	43.601 ± 2.867	257.800 ± 6.920	0.893 ± 0.000	0.461 ± 0.001	0.194 ± 0.001						
20	2	-	0.092 ± 0.001	255.902 ± 8.787	380.481 ± 11.931	0.990 ± 0.001	0.128 ± 0.001	0.082 ± 0.001						
20	3	-	0.093 ± 0.001	298.372 ± 6.012	318.601 ± 11.312	0.999 ± 0.002	0.122 ± 0.000	0.118 ± 0.002						
20	4	-	0.121 ± 0.000	346.771 ± 8.670	441.771 ± 13.208	0.986 ± 0.003	0.107 ± 0.002	0.077 ± 0.003						
-	-	0.5	1.832 ± 0.027	1.166 ± 0.011	0.911 ± 0.019	0.469 ± 0.012	0.498 ± 0.004	0.525 ± 0.011						
-	-	1.0	14.260 ± 0.235	9.377 ± 0.052	6.596 ± 0.078	0.323 ± 0.001	0.358 ± 0.002	0.387 ± 0.002						
-	-	1.5	43.947 ± 0.817	30.253 ± 0.516	22.431 ± 0.432	0.246 ± 0.001	0.278 ± 0.001	0.305 ± 0.001						
15	-	0.5	3.205 ± 0.031	1.388 ± 0.071	2.734 ± 0.060	0.549 ± 0.001	0.729 ± 0.001	0.656 ± 0.001						
15	-	1.0	17.870 ± 0.520	8.377 ± 0.452	18.597 ± 1.001	0.411 ± 0.001	0.578 ± 0.001	0.459 ± 0.003						

15	-	1.5	37.970 ± 4.132	29.043 ± 1.236	62.821 ± 9.873	0.352 ± 0.012	0.456 ± 0.022	0.371 ± 0.023
17.5	-	0.5	3.187 ± 0.066	3.202 ± 0.138	106.131 ± 2.861	0.574 ± 0.002	0.704 ± 0.003	0.218 ± 0.002
17.5	-	1.0	12.578 ± 0.254	43.851 ± 5.149	362.002 ± 25.402	0.479 ± 0.014	0.396 ± 0.032	0.075 ± 0.001
17.5	-	1.5	124.231 ± 11.325	370.601 ± 15.385	441.030 ± 4.292	0.256 ± 0.025	0.064 ± 0.002	0.039 ± 0.001
20	-	0.5	3.903 ± 0.093	249.772 ± 12.243	298.001 ± 6.154	0.573 ± 0.003	0.121 ± 0.011	0.110 ± 0.013
20	-	1.0	18.001 ± 0.467	470.633 ± 11.541	490.501 ± 7.425	0.435 ± 0.001	0.086 ± 0.010	0.063 ± 0.014
20	-	1.5	13.681 ± 1.887	475.232 ± 22.673	560.932 ± 49.692	0.554 ± 0.043	0.037 ± 0.001	0.001 ± 0.012

281

282 The consistency index (k) is related to the viscosity of a power law fluid at low shear.
283 Thus, high values demonstrate great resistance to deformation due to the entanglement
284 of polymer chains. As shown in Table 1, an increase in polox407 and HPMC
285 concentration and an increase in temperature resulted in higher k values. The addition of
286 polox407 into formulations containing only HPMC showed a significant increase in k
287 value when 17.5 or 20% polox407 ($p < 0.05$) were added. The addition of 15% (w/w)
288 polox407 did not cause a statistically significant change in k , but the increased
289 consistency value obtained by the addition of 20% polox407 was statistically different
290 compared to the 15 or 17.5% polox407. Similarly, the addition of HPMC in the system
291 gave an increase in the value of k . However, the increase in the consistency of the
292 systems was not significant when compared polox407 solutions to any studied
293 concentration of HPMC (2, 3 or 4%, w/w). Regarding the temperature, its increase led
294 to a significant increase of the consistency index, since at high temperatures the gelation
295 of the formulations occurs. Thus, polymer chains are better organized into packed
296 micellar mesophases, leading a consequent increase in the consistency and/or viscosity
297 of the system. As observed for n value, statistical difference was observed between 5 °C
298 and 25 or 37 °C ($p < 0.05$), but not between 25 and 37 °C ($p > 0.05$).

299 The yield value represents the minimum stress required for the material starts
300 flowing, and is characteristic for physically crosslinked materials since once the yield
301 value is exceeded, the physical interactions are overcome and the material begins to
302 flow (Barbosa et al., 2017). The temperature and concentration of polox407 and HPMC
303 polymers influenced the yield value of the studied formulations (Table 2). HPMC
304 mono-polymeric formulations at all observed temperatures demonstrated pseudoplastic
305 behavior, with no yield. However, the other binary systems showed plastic flow,
306 increasing their yield value when increasing polymer concentration. This behavior is

307 attributed to the presence of polox407. Pharmaceutical systems that demonstrate higher
308 yield value are more favorable for retention of the formulation at the applied site and
309 may resist to different *in vivo* movements, such as chewing, mucociliary movement or
310 clearance (De Souza Ferreira, Moço, et al., 2015). The temperature displayed a
311 statistically significant influence on the yield value of systems composed of polox407
312 and HPMC, with the main difference observed between 5 °C and 25 or 37 °C ($p < 0.05$).

313 When subjected to shear stress, some the materials undergo a reversible loss of
314 viscosity which may exhibit hysteresis. The hysteresis area usually reveals the
315 reversibility of the formulations' response to shear, showing two different profiles:
316 thixotropic (positive hysteresis area) or rheopectic (negative hysteresis area) (Barbosa et
317 al., 2017; Jones et al., 2009). The addition and increase of polox407 to HPMC mono-
318 polymeric formulations changed the hysteresis area found, however no statistical
319 difference was observed. The addition of HPMC changed the hysteresis area of
320 polox407 formulations from rheopectic to thixotropic in most cases, except 15 %
321 polox407 with 2 and 3 % HPMC. Rheopexy has been already reported in colloidal
322 dispersions, as a reversible phenomenon with time-dependent increase in viscosity when
323 exposed to a determined shear rate. Thixotropic systems, on the other hand, become
324 more fluid when stress is applied, as during stirring, pumping or spread; returning
325 gradually to their initial structure after removal of shear stress. Thixotropic behavior
326 was observed mainly at 5 and 37 °C, without statistical difference between them.

327 **Table 2**

328 The effects of concentration of poloxamer 407 (polox407), hydroxypropylmethycellulose (HPMC) and sodium carboxymethylcellulose
 329 (NaCMC) on the yield value and hysteresis area of binary polymeric systems at different temperatures.

Polymer (% , w/w)			Yield value (Pa)			Hysteresis area		
P407	HPMC	NaCMC	5 °C	25 °C	37 °C	5 °C	25 °C	37 °C
15	-	-	0.329 ± 0.019	5.143 ± 1.520	7.702 ± 0.772	2319.001 ± 51.391	-5953.323 ± 447.411	-34260.00 ± 4143.621
17.5	-	-	0.000 ± 0.014	1.732 ± 0.148	200.870 ± 8.503	1863.332 ± 15.308	-17673.323 ± 198.578	-18350.00 ± 1083.461
20	-	-	0.000 ± 0.048	79.053 ± 9.980	337.978 ± 18.505	452.833 ± 58.115	-5763.323 ± 340.193	-217.167 ± 12.432
-	2	-	0.000 ± 0.650	0.000 ± 0.226	0.000 ± 0.169	2405.667 ± 263.365	-2955.020 ± 144.441	-6718.670 ± 472.500
-	3	-	0.000 ± 0.338	0.000 ± 0.233	0.000 ± 0.188	3311.500 ± 99.702	-9155.667 ± 714.394	-17493.33 ± 1475.481
-	4	-	0.000 ± 0.370	0.000 ± 1.185	0.000 ± 1.168	21520.00 ± 533.948	-24786.667 ± 1033.554	-39463.33 ± 4248.580
15	2	-	0.000 ± 0.237	31.4567 ± 2.253	123.963 ± 4.265	303.333 ± 39.249	-33803.333 ± 2695.187	-18460.00 ± 690.217
15	3	-	0.000 ± 0.491	54.8067 ± 0.340	443.833 ± 37.508	4397.667 ± 388.647	-50290.000 ± 1479.864	-12510.00 ± 1132.607
15	4	-	0.000 ± 0.774	430.733 ± 11.609	647.633 ± 5.604	738066.6 ± 4841.83	-94525.000 ± 7.071	174133.33 ± 8581.569
17.5	2	-	0.000 ± 0.151	260.867 ± 14.319	185.200 ± 25.460	3924.500 ± 248.194	-53613.333 ± 4097.443	100246.67 ± 11667.413
17.5	3	-	0.000 ± 0.065	285.033 ± 3.121	398.800 ± 21.579	1183.000 ± 72.753	-2927.667 ± 269.786	370966.67 ± 8240.348
17.5	4	-	0.000 ± 0.123	388.366 ± 4.821	722.467 ± 32.178	4254.667 ± 239.863	-25346.667 ± 3015.317	160733.33 ± 15938.737
20	2	-	0.000 ± 0.037	410.833 ± 4.606	402.002 ± 23.539	462.867 ± 33.012	114916.667 ± 14179.59	148233.33 ± 21696.159
20	3	-	0.000 ± 0.019	458.967 ± 35.739	466.400 ± 18.780	-310.233 ± 32.010	13850.000 ± 1885.948	5552.33 ± 630.347
20	4	-	0.000 ± 0.056	460.092 ± 15.627	492.667 ± 20.175	755.767 ± 77.528	2985.000 ± 87.681	25530.00 ± 2940.272
-	-	0.5	1.169 ± 0.100	0.928 ± 0.097	0.582 ± 0.369	901.625 ± 85.082	-669.833 ± 67.861	-2237.001 ± 226.122
-	-	1.0	6.418 ± 1.801	0.178 ± 0.013	2.391 ± 0.408	5064.333 ± 453.632	-1293.667 ± 135.079	-430.333 ± 388.931
-	-	1.5	9.328 ± 0.922	45.067 ± 2.524	9.479 ± 0.397	15463.33 ± 609.289	4459.667 ± 38.070	-5116.001 ± 421.576

15	-	0.5	7.299 ± 0.531	12.487 ± 0.540	50.273 ± 0.092	5140.000 ± 391.559	-18626.667 ± 2015.746	-17963.33 ± 1955.081
15	-	1.0	18.570 ± 1.870	43.873 ± 4.721	142.267 ± 1.191	20000.00 ± 2115.39	9401.667 ± 1063.185	24566.662 ± 2795.790
15	-	1.5	87.770 ± 8.531	90.920 ± 5.467	247.567 ± 38.822	9286.667 ± 687.278	22426.667 ± 2687.979	36333.332 ± 905.232
17.5	-	0.5	9.222 ± 0.552	54.04 ± 3.001	106.838 ± 12.712	4734.333 ± 529.392	64813.330 ± 7606.720	54640.000 ± 6047.567
17.5	-	1.0	35.301 ± 3.952	245.507 ± 20.352	183.422 ± 22.090	5621.667 ± 650.079	15900.000 ± 1560.002	244466.67 ± 5024.277
17.5	-	1.5	64.001 ± 2.302	248.060 ± 71.751	245.669 ± 37.259	-8452.363 ± 107.740	22083.333 ± 1537.281	43973.332 ± 1801.011
20	-	0.5	13.621 ± 0.543	317.967 ± 32.004	190.814 ± 21.120	552.667 ± 60.511	18946.667 ± 1692.380	26170.001 ± 2507.858
20	-	1.0	34.951 ± 3.570	493.670 ± 30.435	1430.01 ± 16.206	868.133 ± 48.423	51183.337 ± 3773.292	69210.001 ± 7732.152
20	-	1.5	72.123 ± 6.021	526.800 ± 675.57	216.200 ± 17.253	-7008.000 ± 751.243	71200.000 ± 3917.891	48946.667 ± 2532.384

330

331 For systems containing NaCMC, all parameters evaluated had an effect on k , n ,
332 yield value and thixotropy. Mono-polymeric NaCMC systems, as well as binary
333 polymeric systems composed of NaCMC and polox407 had n values less than one at all
334 temperatures analyzed (Table 1) (Benchabane & Bekkour, 2008). Therefore, shear-
335 thinning behavior was observed for all NaCMC systems, as well as for those containing
336 HPMC. The increase in NaCMC concentration led to a significant reduction in the n
337 values, but this reduction was only statistically significant between formulations
338 without NaCMC and the two highest NaCMC concentrations studied – 1.0 and 1.5%
339 (w/w). The addition of polox407 also resulted in a lower n value, with significant
340 reduction only between formulations containing 15% (w/w) polox407 and those with
341 20% (w/w) polox407. Regarding n values, formulations without polox407 were not
342 statistically different; nor were those composed of 17.5% (w/w) polox407. For a
343 thermoresponsive system raising the temperature also reduces the values of n , since it
344 results in a Newtonian to non-Newtonian transition.

345 The consistency index was affected by temperature and polymer concentration
346 (Table 1). The addition and increase of polox407 content increased k values, as did the
347 addition and increase of NaCMC content. Significant increase in k was observed
348 between all formulations with low concentrations of polox407 (15 and 17. % (w/w))
349 and those containing 20% (w/w) polox407. Significant increases were not observed
350 between formulations without polox407 and those with 15% (w/w) polox407, or
351 between those composed of 15 and 17.5% (w/w) polox407. On the other hand, with the
352 increase of NaCMC, there was a significant increase of k value only among 1.5% (w/w)
353 NaCMC and formulations without or with 0.5% (w/w) NaCMC. A significant increase
354 of k was observed between all analyzed temperatures. Conversely, NaCMC-containing
355 mono-polymeric systems have been shown to reduce in k value with increasing

356 temperature, suggesting the polox407 leads to structuring of the fluid at elevated
357 temperatures.

358 Frequently a higher yield value at high temperature supports the clinical use of
359 the pharmaceutical systems. At low temperatures they flow easily, as low or no stress is
360 enough to remove them, when exposed at body temperature these formulations can
361 remain longer in the desired site. Most NaCMC-containing polymeric systems had
362 plastic behavior, with most mono-polymeric and binary NaCMC samples displaying a
363 yield value at the three temperatures evaluated, except binary systems containing 17.5
364 and 20% (w/w) polox407 at 25 and 37 °C, which demonstrated pseudoplastic behavior,
365 without yield (Table 2).

366 Regarding the hysteresis area, although a thixotropic profile of high
367 concentrated NaCMC dispersions (4-7%) at 20 °C is reported, most of mono-polymeric
368 systems (0.5-1.5%) had thixotropic behavior at 5 °C, and a rheopectic behavior at 25
369 and 37 °C (Table 2) (Benchabane & Bekkour, 2008). When in a binary polymeric
370 system, most NaCMC/poloxamer mixtures showed a thixotropic profile at three
371 temperatures. Formulations containing a higher concentration of polox407 and NaCMC
372 had superior properties as pharmaceutical systems, since at 25 and 37 °C they exhibited
373 thixotropy.

374

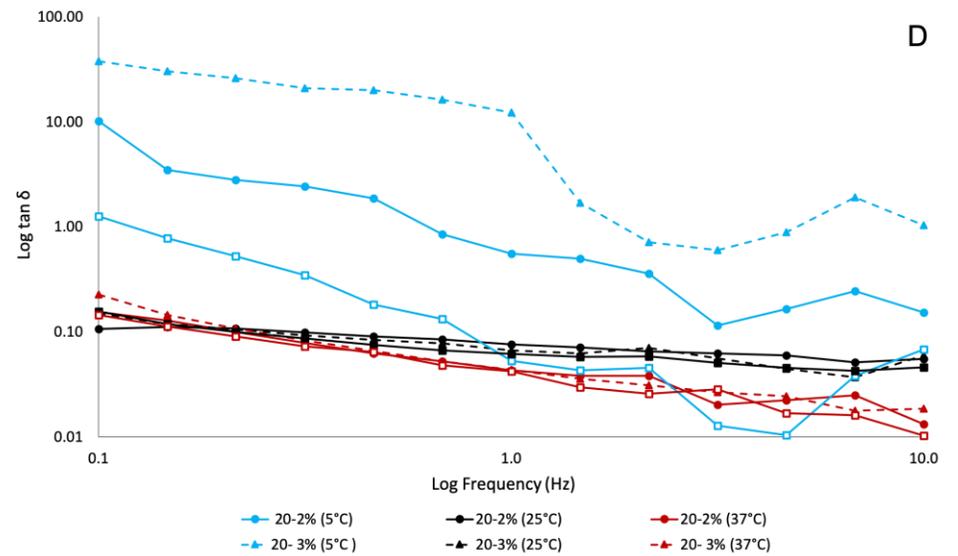
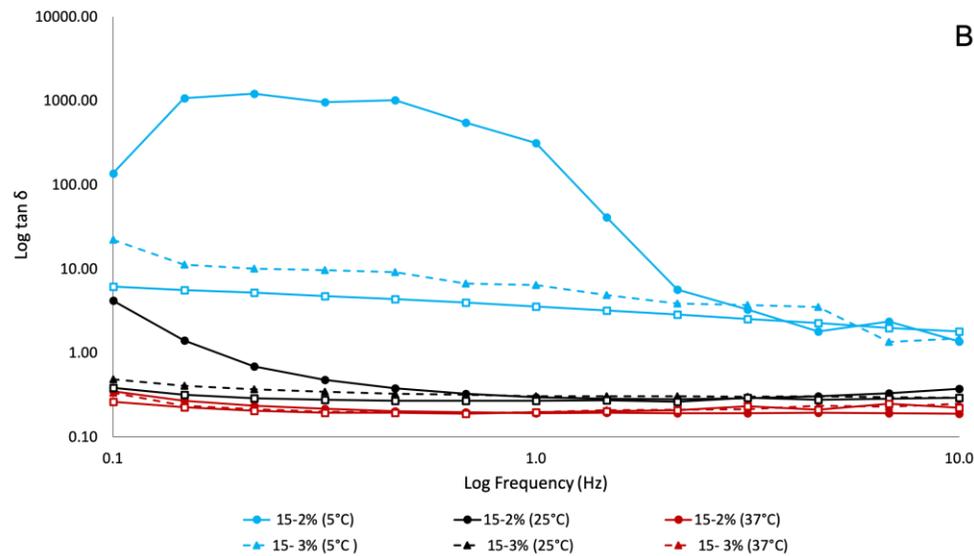
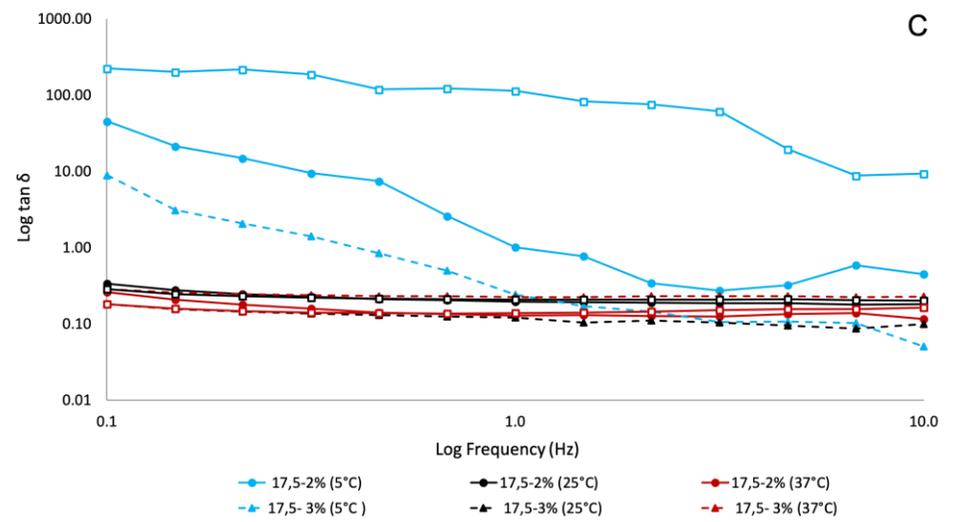
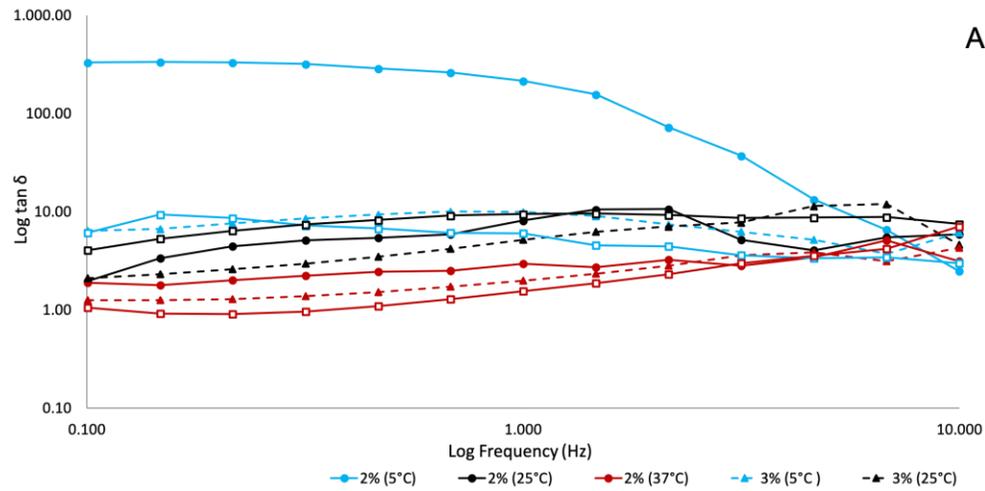
375 *3.2. Oscillatory analysis*

376 Oscillatory rheometry allows evaluation of the viscoelastic properties of the
377 preparations. Oscillatory measurements allow to simulate the behavior of the systems
378 when they are at low shear, probing the native properties of the semisolids at shears
379 relevant to physiological environments. The elastic (G') and viscous (G'') moduli
380 obtained by oscillatory rheometry relate to the stored and recovered energy in each

381 deformation cycle at a given frequency. Additionally, the division of G'' by G'
382 determines the loss tangent ($\tan \delta$), with possible values greater or lower than one unit.
383 Value above one characterizes elastoviscous systems, which have higher values of G''
384 than G' . Conversely, when $\tan \delta$ values are less than one the systems have viscoelastic
385 properties, that means G' is greater than G'' (Baloglu et al., 2011; de Francisco et al.,
386 2019; De Souza Ferreira, Moço, et al., 2015).

387 The oscillatory frequency and polymer concentration affected the viscoelastic
388 properties of the formulations containing polox407 and HPMC or NaCMC (Fig. 1). An
389 increase of oscillatory frequency resulted in the increase of G' and G'' , as well as a
390 decrease of dynamic viscosity (η'). Most polymeric systems showed viscoelastic
391 profiles at 5, 25 and 37 °C, except binary systems at 5 °C, which presented
392 elastoviscous property at low frequencies (Table S1-S6).

393 As displayed in Tables S1-S6, formulations containing polox407 and HPMC
394 were shown to be temperature, oscillatory frequency and polymer concentration
395 dependent. Increasing the temperature, polymer concentration and frequency typically
396 resulted in an increase of G' and G'' , while the values found for $\tan \delta$ were reduced. An
397 increase of η' was observed with increasing polymer concentration and temperature;
398 however the values were reduced with increasing oscillatory frequency. In addition,
399 most binary polymeric systems demonstrated similar rheological values (G' , G'' , η' and
400 $\tan \delta$) when analyzed at 25 and 37 °C, showing a better interaction between cellulose
401 derivatives and thermoresponsive polymer, with an improved system structuring, at
402 temperatures above 25 °C.



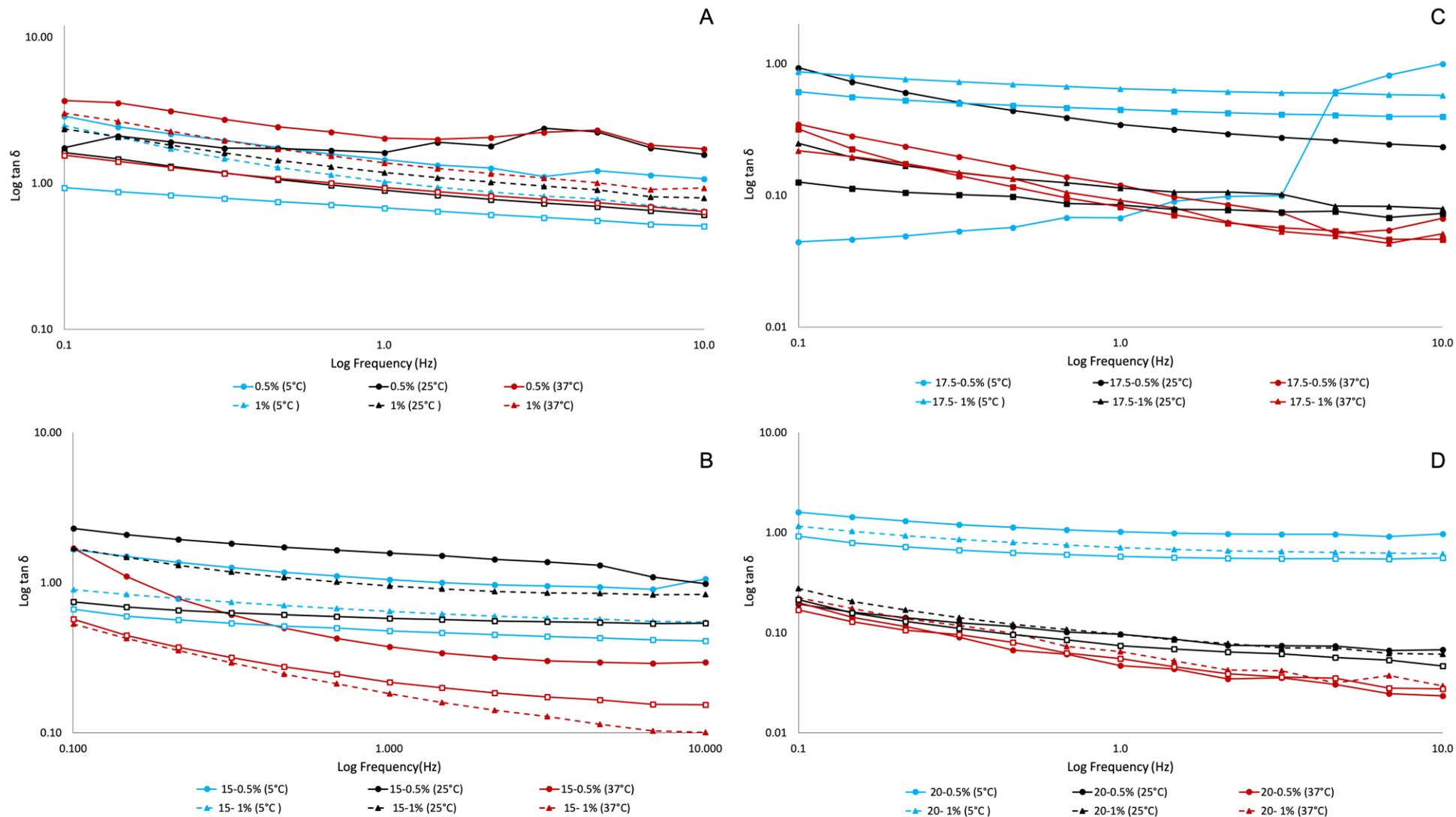
403

404 **Fig. 1.** Loss tangent ($\tan \delta$) as function of frequency at temperatures of 5 (blue), 25 (black) and 37 °C (red) for: (A) monopolymeric formulations
 405 containing 2 (●), 3 (▲) and 4 (◻) (% w/w) hydroxypropyl methylcellulose; (B) binary polymeric formulations containing 15% (w/w) poloxamer 407

406 and hydroxypropyl methylcellulose; (C) 17.5% (w/w) poloxamer 407 and hydroxypropyl methylcellulose; (D) 20% (w/w) poloxamer 407 and
407 hydroxypropyl methylcellulose. Each point of the rheogram represents the mean of at least three replicates. Standard deviations have been omitted for
408 clarity; however, in all cases, the relative standard deviation was lower than 12%.

409 For monopolymeric systems containing NaCMC (Fig. 2), an elastoviscous
410 profile was observed for most formulations, and viscoelastic character was more evident
411 at higher polymer concentration, as well as at higher frequencies, in accordance with the
412 literature (Benchabane & Bekkour, 2008). The increase in oscillatory frequency resulted
413 in an increase of G' and G'' , while η' and $\tan \delta$ had their values reduced. Typically,
414 binary polymeric systems containing 15, 17.5 and 20% (w/w) polox407 demonstrated
415 similar behavior. This was not the case in systems with high polymer concentration,
416 such as those containing 17.5 and 20% (w/w) polox407 with 1.5% (w/w) NaCMC,
417 which showed an increased G' but decreased G'' with increasing oscillatory frequency
418 at 25 and 37 °C. Furthermore, for most systems, the increase in the temperature and
419 polymer content led to the increase of G' , G'' and η' , while the values found for $\tan \delta$
420 were reduced.

421 Viscoelastic behavior is often required in pharmaceutical systems as it gives to
422 the formulation better application and retention properties at the desired site, which
423 contributes to their therapeutic effectiveness. Therefore, systems containing 15, 17.5
424 and 20% (w/w) polox407 showed viscoelastic behavior for most polymer systems at 25
425 and 37 °C, especially for formulations containing 3 and 4% (w/w) HPMC and 1.0 and
426 1.5% (w/w) of NaCMC, and thus may be preferable for clinical application.



427

428 **Fig. 2.** Loss tangent ($\tan \delta$) as a function of frequency at temperatures of 5 (blue), 25 (black) and 37 °C (red) for: (A) monopolymeric formulations
 429 containing 0.5 (●), 1.0 (▲) and 1.5 (◻) (% w/w) sodium carboxymethylcellulose; (B) binary polymeric formulations containing 15% (w/w) poloxamer

430 407 and sodium carboxymethylcellulose; (C) 17.5% (w/w) poloxamer 407 and sodium carboxymethylcellulose; (D) 20% (w/w) poloxamer 407 and
431 sodium carboxymethylcellulose. Each point of the rheogram represents the mean of at least three replicates. Standard deviations have been omitted for
432 clarity; however, in all cases, the relative standard deviation was lower than 12%.

433 *3.3. Interaction parameter*

434 The strength of interactions between cellulose derivatives and polox407 may be
435 investigated by measurement of G' . A rheological synergism is observed when G' of a
436 polymer mixture is greater than the sum of G' of the constituent polymers in solutions
437 of equivalent concentration. Synergism was assessed using G' values obtained at 10.0
438 Hz, in order to intensively force the polymeric systems as a function of the oscillatory
439 frequency (Barbosa et al., 2017; Jones et al., 2009). G'_{observed} was the G' of the
440 formulation at this frequency, and $G'_{\text{calculated}}$ was the sum of the G' at 10 Hz for the
441 constituent mono-polymeric solutions at the same concentrations.

442 Table 3 shows G'_{observed} and $G'_{\text{calculated}}$ for each binary polymeric system
443 composed of polox407 and HPMC, as well as their interaction parameter, which was
444 calculated at each temperature studied (5, 25 and 37 °C). The temperature increase was
445 followed by an increase in G' for all HPMC systems. However, the $G'_{\text{calculated}}$ did not
446 increase proportionally, with 15% (w/w) polox407 formulations, exhibiting a reduction
447 of its value as a function of the evaluated temperatures. Systems containing 17.5%
448 (w/w) polox407, in turn, showed similar values between $G'_{\text{calculated}}$ at 5 and 25 °C, with
449 values almost ten times higher at 37 °C than those observed at the lowest temperatures.
450 Additionally, formulations composed of 20% (w/w) polox407 demonstrated a decrease
451 in calculated G' at 5 °C and increasing values at other temperatures. Thus, at 5 °C only
452 systems containing 20% (w/w) polox407 showed low rheological synergism, the other
453 systems presented rheological antagonism. At 25 and 37 °C, by contrast, a synergic
454 profile was observed for all systems containing HPMC.

455 **Table 3**456 Observed and calculated values for elastic modulus (G') of binary polymeric formulations containing poloxamer 407

457 (polox407) and hydroxypropyl methylcellulose (HPMC) or sodium carboxymethylcellulose (NaCMC).

Polox407 (%. w/w)	HPMC (%. w/w)	NaCMC (%. w/w)	Temperature (°C)	G'_{observed} (Pa) ^a	$G'_{\text{calculated}}$ (Pa) ^a	Interaction parameter (Pa)
15	2.0	-	5	22.46 ± 1.10	129.53 ± 8.85	-107.07 ± 9.24
15	2.0	-	25	44.68 ± 5.28	153.43 ± 2.77	-108.75 ± 6.34
15	2.0	-	37	1057.67 ± 57.42	67.56 ± 7.12	990.10 ± 55.55
15	3.0	-	5	101.93 ± 2.51	177.62 ± 8.39	-75.68 ± 10.90
15	3.0	-	25	75.02 ± 2.33	151.23 ± 4.76	-76.21 ± 2.97
15	3.0	-	37	6665.33 ± 162.21	82.98 ± 6.30	6582.35 ± 115.93
15	4.0	-	5	238.70 ± 20.32	263.10 ± 7.41	-33.55 ± 6.29
15	4.0	-	25	252.73 ± 26.42	211.45 ± 2.58	25.15 ± 4.70
15	4.0	-	37	3799.33 ± 384.71	137.20 ± 6.29	3662.14 ± 382.68
17.5	2.0	-	5	25.73 ± 0.83	131.13 ± 0.88	-105.40 ± 1.03
17.5	2.0	-	25	4288.67 ± 224.28	135.87 ± 6.03	4152.80 ± 224.23
17.5	2.0	-	37	8900.67 ± 234.61	9424.87 ± 498.99	-340.92 ± 150.86
17.5	3.0	-	5	89.92 ± 3.07	179.22 ± 1.02	-89.30 ± 2.98
17.5	3.0	-	25	9582.00 ± 523.64	133.67 ± 6.73	9448.33 ± 529.69
17.5	3.0	-	37	10930.00 ± 670.22	9138.96 ± 49.43	2159.58 ± 452.05
17.5	4.0	-	5	223.90 ± 7.21	264.70 ± 2.76	-42.35 ± 6.29
17.5	4.0	-	25	10603.33 ± 361.16	193.88 ± 6.45	10409.45 ± 365.92
17.5	4.0	-	37	11750.00 ± 1090.00	9659.62 ± 577.24	1545.38 ± 193.50
20	2.0	-	5	29.05 ± 0.33	37.88 ± 6.09	-8.83 ± 5.85
20	2.0	-	25	12442.33 ± 827.61	9517.73 ± 495.34	2924.60 ± 333.28
20	2.0	-	37	11663.33 ± 445.57	14908.54 ± 293.79	-3245.207 ± 382.47
20	3.0	-	5	93.47 ± 1.43	85.97 ± 5.65	7.51 ± 6.99
20	3.0	-	25	13200.00 ± 312.25	9515.53 ± 497.16	3684.47 ± 788.09
20	3.0	-	37	14165.0 ± 487.90	14923.96 ± 295.34	-588.55 ± 50.27
20	4.0	-	5	130.25 ± 11.24	171.45 ± 4.66	-39.49 ± 6.16
20	4.0	-	25	13980.00 ± 482.80	9575.75 ± 995.38	4404.25 ± 671.84

20	4.0	-	37	17385.00 ± 1308.48	14978.17 ± 294.69	2316.96 ± 954.25
15	-	0.5	5	14.28 ± 1.62	134.99 ± 9.33	-120.11 ± 10.34
15	-	0.5	25	550.37 ± 32.33	128.62 ± 5.15	421.75 ± 36.57
15	-	0.5	37	1163.67 ± 25.38	68.78 ± 6.14	1094.88 ± 29.49
15	-	1.0	5	8.32 ± 0.48	135.33 ± 9.22	-127.01 ± 9.67
15	-	1.0	25	5931.33 ± 455.62	124.06 ± 4.69	5807.28 ± 458.67
15	-	1.0	37	8708.33 ± 499.34	64.71 ± 6.82	8643.62 ± 492.72
15	-	1.5	5	135.73 ± 9.96	173.83 ± 5.31	-38.09 ± 15.26
15	-	1.5	25	8339.33 ± 1025.06	127.55 ± 4.54	8211.78 ± 1025.00
15	-	1.5	37	5117.33 ± 645.02	85.32 ± 6.77	5032.01 ± 638.90
17.5	-	0.5	5	84.96 ± 128.44	135.99 ± 1.02	-51.03 ± 6.88
17.5	-	0.5	25	8724.33 ± 128.44	111.06 ± 5.04	8613.27 ± 131.90
17.5	-	0.5	37	9723.67 ± 744.12	9426.09 ± 498.49	297.57 ± 449.11
17.5	-	1.0	5	122.97 ± 3.16	136.93 ± 0.18	-13.96 ± 3.01
17.5	-	1.0	25	12396.67 ± 140.48	106.50 ± 5.89	12290.17 ± 144.36
17.5	-	1.0	37	12646.67 ± 473.43	9135.23 ± 60.97	3784.77 ± 60.97
17.5	-	1.5	5	6.99 ± 0.37	175.43 ± 4.29	-168.43 ± 4.48
17.5	-	1.5	25	1402.33 ± 81.28	109.99 ± 6.61	1292.34 ± 86.85
17.5	-	1.5	37	14166.67 ± 3.69	9442.63 ± 498.44	4724.03 ± 441.43
20	-	0.5	5	98.51 ± 3.69	42.74 ± 6.57	55.774 ± 3.89
20	-	0.5	25	15130.00 ± 1263.61	9492.92 ± 496.24	6369.01 ± 807.30
20	-	0.5	37	23360.00 ± 3095.11	14909.76 ± 295.24	6585.14 ± 163.50
20	-	1.0	5	47.49 ± 6.07	43.68 ± 6.49	7.8305 ± 12.27
20	-	1.0	25	17466.00 ± 1590.37	9488.35 ± 496.62	8590.69 ± 837.87
20	-	1.0	37	22663.33 ± 1249.97	14905.69 ± 294.57	7757.64 ± 968.38
20	-	1.5	5	115.27 ± 4.90	82.18 ± 2.89	33.09 ± 2.75
20	-	1.5	25	18266.67 ± 1528.93	9491.85 ± 496.95	7621.88 ± 122.83
20	-	1.5	37	22746.67 ± 741.44	14926.30 ± 293.87	7820.37 ± 961.59

*Storage modulus (G') determined at 10 Hz.

458
459

460 For systems containing polox407 and NaCMC, the observed and calculated G' ,
461 as well as the interaction parameter values are shown in Table 3. A temperature increase
462 led to an increase in the observed G' values, while for calculated G' the same decrease
463 was observed as seen for HPMC systems. At 5 °C rheological antagonism was observed
464 for all systems, and only those with greater polymer concentration showed synergism at
465 25 °C. However, at 37 °C synergism was observed in most binary systems, except for
466 the 17.5/0.5, 20/0.5 and 20/1.0 formulations.

467 Both HPMC and NaCMC systems demonstrated antagonism at 5 °C, showing no
468 interaction between the cellulose derivatives and the thermoresponsive polymer, as also
469 observed in the accelerated centrifuge stability results performed at this temperature for
470 binary polymeric systems containing 17.5 or 20% (w/w) polox407 and HPMC (3 and
471 4%, w/w) or NaCMC (1.0 and 1.5%, w/w) (Fig. S5). Nonetheless, at room and body
472 temperature, these polymers demonstrated strong interaction. The lowest studied
473 polymer concentrations have already demonstrated their ability to establish interactions
474 at 37 °C, where non-covalent interactions may occur between the cellulose derivatives
475 and polox407 in its micellar state. However, using higher polymer concentrations, the
476 systems demonstrated better interaction parameters at both temperatures, since the
477 potential for chain overlap to occur is greater.

478

479 *3.4. Solution-gel transition temperature ($T_{sol/gel}$)*

480 $T_{sol/gel}$ is characterized by the temperature at which the formulation ceases to be
481 liquid and becomes a gel. This parameter is essentially important for systems containing
482 thermoresponsive polymers, such as polox407. For pharmaceutical and biomedical
483 applications, polymeric systems with $T_{sol/gel}$ at around 25-37 °C allow gel formation to
484 occur when the formulation is warmed from room temperature to body temperature

485 (Pund, Rasve, & Borade, 2013; Yun Chang et al., 2002). $T_{\text{sol/gel}}$ study allows the
486 selection of the most appropriate formulations according to the intended use, with
487 topical sites having slightly lower temperatures than parenteral administration, for
488 instance.

489 Most formulations containing 15, 17.5 and 20% (w/w) polox407 showed a
490 significant increase in viscosity (η') during temperature sweep, presenting a $T_{\text{sol/gel}}$.
491 When in the liquid state, the systems presented low G' values, while at higher
492 temperatures, when they became a gel with greater elasticity. The value of G' increased
493 significantly with temperature, except for the formulation composed of 17.5% (w/w)
494 polox407 and 2% (w/w) HPMC. The $T_{\text{sol/gel}}$ results obtained by rheology for binary
495 polymeric systems composed of polox407 and HPMC or NaCMC are shown in Table 4.

496 **Table 4**

497 Sol-gel transition temperature ($T_{\text{sol/gel}}$) of binary polymeric systems containing
 498 poloxamer 407 (polox407) and hydroxypropyl methylcellulose (HPMC) or sodium
 499 carboxymethylcellulose (NaCMC)^a.

Formulations	Polymer % (w/w)			$T_{\text{sol/gel}}$ (°C)
	Polox407	HPMC	NaCMC	
15 / 2	15	2	-	33.760 ± 0.078
15 / 3	15	3	-	32.863 ± 0.081
15 / 4	15	4	-	28.708 ± 0.045
17.5 / 2	17.5	2	-	30.545 ± 0.076
17.5 / 3	17.5	3	-	28.788 ± 0.033
17.5 / 4	17.5	4	-	25.993 ± 0.059
20 / 2	20	2	-	24.120 ± 0.065
20 / 3	20	3	-	22.792 ± 0.098
20 / 4	20	4	-	22.280 ± 0.084
15 / 0.5	15	-	0.5	43.860 ± 0.018
15 / 1.0	15	-	1.0	39.240 ± 0.077
15 / 1.5	15	-	1.5	36.505 ± 0.064
17.5 / 0.5	17.5	-	0.5	36.460 ± 0.066
17.5 / 1.0	17.5	-	1.0	29.647 ± 0.029
17.5 / 1.5	17.5	-	1.5	30.900 ± 0.038
20 / 0.5	20	-	0.5	28.240 ± 0.060
20 / 1.0	20	-	1.0	30.528 ± 0.045
20 / 1.5	20	-	1.5	29.577 ± 0.053

500 ^aEach mean represents the mean (\pm standard deviation) of at least three replicates.

501 As previously observed, 15 and 20% (w/w) polox407 mono-polymeric systems
502 have a $T_{\text{sol/gel}}$ of 31.5 and 25.4 °C, respectively (Barbosa et al., 2017). The addition of
503 HPMC to the systems did not generate major changes in polox407 $T_{\text{sol/gel}}$. However, it
504 can be observed that the increase of HPMC concentration, as well as the increase of
505 polox407 concentration, reduced $T_{\text{sol/gel}}$, since at higher concentrations the polymeric
506 chains are more likely to overlap as there are a greater quantity in the same dispersion
507 volume. Critical volume fractions for gelation may be reached at lower temperatures,
508 and the polymer chains may experience less water solvation overall (Weaver,
509 Stockmann, Postma, & Thang, 2016). Considering the $T_{\text{sol/gel}}$ values obtained for the
510 polymeric systems, most of the formulations will undergo gelation when warmed from
511 room to body temperature. All HPMC systems were considered suitable, but 15/0.5 and
512 15/1 (%/%) NaCMC systems did not show $T_{\text{sol/gel}}$ below 37 °C. In good agreement,
513 Barse and collaborators showed that a combination between polox407 and lower
514 concentrations of HPMC (0.5-1%), also displayed a $T_{\text{sol/gel}}$, ranging from 25 and 39 °C
515 (Barse et al., 2016).

516 Gelation occurring below 25 °C makes the formulation difficult to manufacture,
517 handle and administer as pharmaceutical dosage form, while systems with gelation
518 temperatures above 37 °C will not exhibit the required increase in viscosity after
519 administration to the body. Considering the gelation temperature alongside the
520 rheological interaction parameter, the most suitable HPMC formulations for
521 pharmaceutical use are 17.5/3; 17.5/4; 20/3 and 20/4, particularly for topical sites such
522 as the mouth and eyes.

523 The literature provides some suggestions on how polymeric additives may
524 mechanistically alter the $T_{\text{sol/gel}}$ of polox407. It is suggested that substances which are
525 able to establish strong non-covalent bonds with polox407 decrease its $T_{\text{sol/gel}}$ since, in

526 this case, polox407 interaction with water is reduced, favoring its dehydration and
527 micellization (Baloglu et al., 2011; Choi, Lee, Kim, & Kim, 1999; Dumortier et al.,
528 2006). It is also possible that reductions in $T_{\text{sol/gel}}$ occur due to preferential interaction of
529 the additive with water, reducing the overall fraction of water able to hydrate the
530 poloxamer chains. Some studies suggest that elevation of $T_{\text{sol/gel}}$ may be a result of
531 disturbing the micellar packing of polox407, requiring greater temperatures and micelle
532 volume fraction to be achieved prior to gelation occurring (Kim, Gao, Park, Li, & Han,
533 2002). It is also possible that critical micelle concentrations of polox407 may be altered
534 by additives, in turn affecting $T_{\text{sol/gel}}$.

535 In general, formulations containing NaCMC or HPMC demonstrated an increase
536 of polox407 $T_{\text{sol/gel}}$, which may be attributed to a disruption of the micelle packing
537 mechanism. However, NaCMC systems displayed higher gelation temperature values
538 comparing to those containing HPMC. This may be attributed to the greater interaction
539 of NaCMC with water, providing a large steric bulk to interfere with micelle packing.
540 The higher hydrophobicity of HPMC, compared with NaCMC, may also provide
541 reductions in the solvation degree of the polox407 chains in the dispersion, which is
542 consequently seen as a relatively retarded $T_{\text{sol/gel}}$ (Weaver et al., 2016).

543 The $T_{\text{sol/gel}}$ values obtained for NaCMC and polox407 systems were further
544 reduced as the concentration of those polymers increased. Systems containing 15%
545 (w/w) polox407 demonstrated high values of $T_{\text{sol/gel}}$, inappropriate for topical
546 application. However, they may still be viable for use in some febrile regions, for
547 example, where the temperature is higher. Additionally, the $T_{\text{sol/gel}}$ of 17% (w/w)
548 polox407 and 1% (w/w) NaCMC polymeric system has already been described in the
549 literature with $T_{\text{sol/gel}}$ of approximately 33 °C, slightly above that found by rheology
550 measurement for formulations containing 17.5% (w/w) polox407 and 1% (w/w)

551 NaCMC, which was 29.6 °C (Pund et al., 2013). Therefore, considering gelation
552 temperature and others rheological parameters, the most promising systems to be
553 investigated as topical pharmaceutical systems are 17.5/1; 17.5/1.5; 20/1 and 20/1.5
554 polox407/NaCMC.

555

556 3.5. *Texture profile analysis (TPA)*

557 TPA is a useful tool for the evaluation of mechanical properties in
558 pharmaceutical systems like semi-solids. It can provide information about the
559 mechanical structure of hydrogels, predicting interactions between the polymers that
560 constitute them. Additionally, it allows the evaluation of systems under different
561 temperature conditions, simulating a physiological environment, for example. The data
562 obtained by texture analysis in this study allowed for the calculation of five parameters:
563 hardness, elasticity, adhesiveness, cohesiveness and compressibility, as described in the
564 material and methods section (De Souza Ferreira, Bassi da Silva, et al., 2015; Jones et
565 al., 1996).

566 Considering topical semi-solid pharmaceutical systems, properties such as easy
567 removal from packaging material, adequate spreadability, adhesiveness and viscosity
568 are important parameters to be investigated in order to support patient adherence to the
569 treatment. For systems applied on the skin, eye or mouth, it is also necessary to consider
570 the natural process of the clearance from mucosal membranes in the presence of natural
571 fluids (such as saliva or tears), and shear forces occurring during speech, chewing and
572 blinking, for instance. For pharmaceutical dosage forms, low hardness and
573 compressibility values are expected, in order to ensure ease of application and removal
574 of the product. Also, low elasticity values, high cohesiveness and high adhesion are
575 better in terms of formulations performance at the intended location, mainly for those

576 exposed to movements which can make their retention difficult (De Souza Ferreira,
577 Bassi da Silva, et al., 2015).

578 Texture profile analysis was conducted in order to evaluate the mechanical
579 properties of binary polymeric systems as possible candidates for topical application,
580 shown in Table 5 and 6. Both HPMC and NaCMC platforms showed significant
581 differences for all texture profile parameters evaluated, with changes observed when
582 varying polox407 concentration, cellulose-derivative concentration, and temperature.
583 Regarding the polymeric systems containing HPMC (Table 5), significant increases in
584 hardness, compressibility and adhesiveness parameters were observed with the increase
585 of polox407 content. Elasticity and cohesiveness, despite having their values reduced
586 with increasing concentration of polox407, did not show significant change. The
587 increase of HPMC led to a significant increase in hardness and compressibility.
588 Adhesiveness showed a significant increase between 4% (w/w) and the lowest tested
589 HPMC concentration. Between 2 and 3% (w/w) HPMC the increase in adhesiveness
590 was not significant ($p>0.05$). The elasticity did not change when increasing HPMC
591 concentration. Although the cohesiveness did not show a huge variation, it had its
592 values slightly reduced with increasing concentrations of HPMC, being statistically
593 significant only between 2 and 4% (w/w) of HPMC ($p<0.05$). These polymeric systems
594 demonstrated significant increase in hardness and compressibility with temperature.
595 Although the adhesiveness of formulations increased with temperature, there was not a
596 significant difference between 25 and 37 °C ($p<0.05$). The elasticity of the systems
597 remained unchanged with temperature variation. The cohesiveness varied being
598 significant only between temperatures of 5 and 25 °C ($p<0.05$), as well as 5 and 37 °C
599 ($p<0.05$).

600 **Table 5**

601 Mechanical properties obtained by texture profile analysis (TPA) of binary polymeric systems containing poloxamer 407 (polox407) and
 602 hydroxypropyl methylcellulose (HPMC)^a.

Polox407 (% w/w)	HPMC (% w/w)	Temperature (°C)	Hardness (N)		Compressibility (N.mm)		Adhesiveness (N.mm)		Elasticity (mm)		Cohesiveness (dimensionless)	
15	2.0	5	0.052	± 0.001	0.135	± 0.006	0.000	± 0.000	0.987	± 0.037	0.781	± 0.017
15	2.0	25	0.521	± 0.005	2.617	± 0.034	2.711	± 0.044	1.000	± 0.007	0.944	± 0.006
15	2.0	37	0.660	± 0.028	3.367	± 0.118	2.930	± 0.102	0.996	± 0.005	0.949	± 0.017
15	3.0	5	0.058	± 0.002	0.168	± 0.008	0.000	± 0.000	0.997	± 0.037	0.855	± 0.012
15	3.0	25	0.694	± 0.030	3.554	± 0.172	3.130	± 0.150	0.997	± 0.003	0.959	± 0.006
15	3.0	37	0.831	± 0.024	4.121	± 0.077	3.546	± 0.118	0.996	± 0.005	0.949	± 0.007
15	4.0	5	0.067	± 0.003	0.182	± 0.008	0.000	± 0.000	0.992	± 0.007	0.816	± 0.028
15	4.0	25	0.819	± 0.009	4.076	± 0.138	3.810	± 0.109	0.995	± 0.009	0.941	± 0.015
15	4.0	37	0.964	± 0.046	4.811	± 0.177	3.915	± 0.106	1.000	± 0.006	0.933	± 0.008
17.5	2.0	5	0.058	± 0.003	0.148	± 0.007	0.000	± 0.000	1.000	± 0.053	0.978	± 0.038
17.5	2.0	25	0.893	± 0.033	4.644	± 0.197	4.203	± 0.203	0.997	± 0.001	0.917	± 0.027
17.5	2.0	37	0.938	± 0.046	4.757	± 0.195	4.098	± 0.187	1.001	± 0.007	0.957	± 0.007
17.5	3.0	5	0.064	± 0.002	0.199	± 0.009	0.000	± 0.000	1.003	± 0.025	0.794	± 0.039
17.5	3.0	25	0.978	± 0.045	4.705	± 0.498	4.003	± 0.158	0.999	± 0.007	0.906	± 0.024
17.5	3.0	37	1.171	± 0.048	6.072	± 0.289	4.853	± 0.116	0.999	± 0.008	0.889	± 0.012
17.5	4.0	5	0.079	± 0.003	0.293	± 0.014	0.000	± 0.000	0.990	± 0.002	0.834	± 0.039
17.5	4.0	25	1.354	± 0.026	6.673	± 0.203	5.239	± 0.201	0.992	± 0.009	0.858	± 0.013
17.5	4.0	37	1.528	± 0.051	8.109	± 0.373	5.927	± 0.193	0.999	± 0.006	0.843	± 0.034
20	2.0	5	0.063	± 0.003	0.176	± 0.007	0.000	± 0.000	0.971	± 0.038	0.602	± 0.023
20	2.0	25	1.048	± 0.057	5.340	± 0.268	5.749	± 0.240	0.997	± 0.005	1.135	± 0.042
20	2.0	37	1.267	± 0.026	6.340	± 0.155	5.423	± 0.072	0.997	± 0.007	0.948	± 0.014
20	3.0	5	0.072	± 0.003	0.234	± 0.011	0.000	± 0.000	1.010	± 0.010	0.803	± 0.029

20	3.0	25	1.340 ± 0.032	6.538 ± 0.184	5.548 ± 0.182	1.000 ± 0.007	0.926 ± 0.009
20	3.0	37	1.455 ± 0.061	7.730 ± 0.055	6.226 ± 0.139	0.996 ± 0.011	0.909 ± 0.018
20	4.0	5	0.124 ± 0.005	0.368 ± 0.016	0.049 ± 0.002	0.990 ± 0.012	0.755 ± 0.037
20	4.0	25	1.533 ± 0.061	7.413 ± 0.388	6.143 ± 0.246	0.999 ± 0.004	0.907 ± 0.020
20	4.0	37	1.629 ± 2.651	8.415 ± 0.197	6.479 ± 0.209	0.996 ± 0.004	0.882 ± 0.013

603 ^aEach mean represents the mean (\pm standard deviation) of at least three replicates.

604

605 For platforms containing NaCMC, changes in polox407 content also provided
606 significant increase in hardness, compressibility and adhesion parameters. However,
607 elasticity and cohesiveness showed differences only between the lowest and the highest
608 polox407 concentrations studied. On the other hand, increasing the concentration of
609 NaCMC cellulose derivative led to a significant increase in hardness and
610 compressibility parameters. The progressive increase in adhesiveness as a function of
611 the increase in NaCMC concentration was statistically significant only between systems
612 containing 0.5 and 1.5% (w/w) NaCMC ($p < 0.05$). Formulations containing 1 and 1.5%
613 (w/w) NaCMC did not present statistical difference for adhesiveness. The elasticity was
614 considered to be statistically equivalent between formulations containing different
615 NaCMC concentrations; however, their cohesiveness was significantly reduced as
616 concentration increased. A significant increase in hardness, compressibility and
617 adhesiveness was observed when temperature was increased between 5, 25 and 37 °C.
618 The elasticity did not change with NaCMC concentration, but cohesiveness was
619 increased as the polymer concentration increased, and only the lowest concentration
620 differed statistically from the others.

621 Overall, it was observed that an increase of polymer concentration, either for
622 polox407, HPMC or NaCMC led to an increase of hardness and compressibility.
623 Adhesiveness was mainly dependent on the concentration of cellulose derivative used,
624 obtaining higher values for the highest concentrations of HPMC and NaCMC, with the
625 greatest adhesive force observed for formulations containing 4% (w/w) HPMC.
626 Formulations containing 1 or 1.5% (w/w) NaCMC were significantly more adhesive
627 those containing 0.5% (w/w) NaCMC, however, no significant difference was observed
628 between the concentrations of 1 and 1.5% (w/w) NaCMC. The elasticity of the systems
629 did not change significantly with variation of the cellulose derivative or their

630 concentration. Regarding cohesiveness, despite a tendency to decrease values when
631 increasing polymer concentration, only small changes were observed, with the highest
632 concentrations giving the greatest loss of cohesiveness.

633

634 **Table 6**

635 Mechanical parameters obtained by texture profile analysis (TPA) of binary polymeric systems containing poloxamer 407 (polox407) and

636 sodium carboxymethylcellulose (NaCMC)^a.

Polox407 (%, w/w)	NaCMC (%, w/w)	Temperature (°C)	Hardness (N)		Compressibility (N.mm)		Adhesiveness (N.mm)		Elasticity (mm)		Cohesiveness (dimensionless)	
15	0.5	5	0.064	± 0.002	0.188	± 0.007	0.000	± 0.000	1.068	± 0.029	0.848	± 0.017
15	0.5	25	0.062	± 0.002	0.165	± 0.003	0.000	± 0.000	1.082	± 0.045	0.911	± 0.032
15	0.5	37	0.314	± 0.014	1.454	± 0.063	1.307	± 0.054	0.993	± 0.024	0.996	± 0.002
15	1.0	5	0.160	± 0.004	0.666	± 0.033	0.300	± 0.011	1.005	± 0.014	0.759	± 0.011
15	1.0	25	0.138	± 0.002	0.563	± 0.028	0.244	± 0.010	1.005	± 0.025	0.743	± 0.030
15	1.0	37	0.387	± 0.008	1.772	± 0.056	1.161	± 0.031	1.009	± 0.011	0.761	± 0.024
15	1.5	5	0.572	± 0.038	2.097	± 0.091	0.711	± 0.028	1.001	± 0.009	0.771	± 0.056
15	1.5	25	0.494	± 0.010	2.484	± 0.082	0.849	± 0.045	1.008	± 0.009	0.678	± 0.020
15	1.5	37	0.819	± 0.002	3.735	± 0.192	2.202	± 0.070	0.998	± 0.009	0.757	± 0.039
17.5	0.5	5	0.068	± 0.003	0.201	± 0.007	0.000	± 0.000	0.976	± 0.018	0.845	± 0.022
17.5	0.5	25	0.529	± 0.018	2.663	± 0.112	2.458	± 0.109	0.999	± 0.007	0.980	± 0.008
17.5	0.5	37	0.568	± 0.007	2.750	± 0.021	2.383	± 0.017	1.001	± 0.007	0.965	± 0.003
17.5	1.0	5	0.192	± 0.009	0.680	± 0.030	0.199	± 0.009	0.998	± 0.013	0.838	± 0.021
17.5	1.0	25	0.766	± 0.031	2.977	± 0.114	2.517	± 0.077	1.000	± 0.003	0.952	± 0.029
17.5	1.0	37	1.013	± 0.030	4.532	± 0.187	3.665	± 0.189	1.001	± 0.007	0.889	± 0.015
17.5	1.5	5	0.494	± 0.005	2.033	± 0.085	0.700	± 0.028	1.003	± 0.009	0.737	± 0.0377
17.5	1.5	25	1.035	± 0.022	3.822	± 0.111	3.386	± 0.166	0.999	± 0.006	0.922	± 0.019
17.5	1.5	37	1.100	± 0.010	4.956	± 0.091	4.009	± 0.107	1.003	± 0.004	0.899	± 0.039
20	0.5	5	0.072	± 0.002	0.224	± 0.004	0.000	± 0.000	0.988	± 0.047	0.835	± 0.027
20	0.5	25	0.733	± 0.033	3.793	± 0.129	3.939	± 0.162	1.006	± 0.004	0.977	± 0.014

20	0.5	37	0.891 ± 0.019	4.450 ± 0.158	3.852 ± 0.109	1.004 ± 0.004	0.968 ± 0.010
20	1.0	5	0.268 ± 0.007	0.107 ± 0.006	0.033 ± 0.001	1.004 ± 0.003	0.739 ± 0.025
20	1.0	25	1.151 ± 0.015	4.626 ± 0.152	4.082 ± 0.143	1.002 ± 0.006	0.958 ± 0.038
20	1.0	37	1.314 ± 0.056	6.490 ± 0.125	5.639 ± 0.185	1.004 ± 0.004	0.952 ± 0.023
20	1.5	5	0.669 ± 0.033	2.812 ± 0.138	0.917 ± 0.031	1.006 ± 0.006	0.706 ± 0.036
20	1.5	25	1.515 ± 0.083	5.824 ± 0.273	4.107 ± 0.163	1.001 ± 0.002	0.847 ± 0.034
20	1.5	37	1.484 ± 0.050	6.814 ± 0.319	4.180 ± 0.182	1.006 ± 0.007	0.836 ± 0.038

^aEach mean represents the mean (± standard deviation) of, at least, three replicates.

637
638

639 *3.6. Softness*

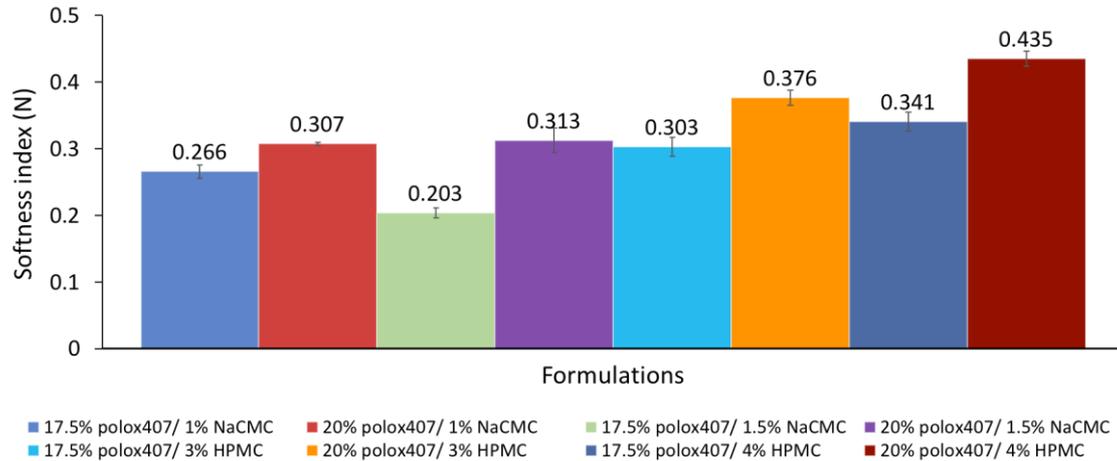
640 Softness determination is a complementary measure to TPA. The use of a
641 conical probe ensures that samples demonstrate their plastic behavior due to reduced
642 viscosity during its penetration. Hence, softness analysis enables measurement of
643 characteristics such as the spreadability (previously observed in glass plates), allowing
644 for rigidity determination of semi-solid materials, that can be correlated with the
645 sensorial evaluation and softness index of the formulations (Barbosa et al., 2017).

646 Softness analysis was performed only for formulations previously found to be
647 suitable as topical pharmaceutical systems by rheology and TPA, with analysis at 37 °C.
648 The objective was to evaluate the smoothness of these samples under body conditions,
649 simulating their application appropriately. Softness is a very sensitive property, which
650 can vary according the humidity and pH, for example. The softness index, determined
651 as the force required for penetration of conical probe at a depth of 10 mm of the sample,
652 is shown in Fig. 3.

653 The higher the softness index of the formulations, the harder and less soft they
654 will be, since a greater strength will be required for the probe to penetrate the sample.
655 Binary polymeric systems containing NaCMC or HPMC demonstrated similar softness
656 profiles, suggesting that the polox407 is the major determinant of this property.
657 Formulations containing HPMC demonstrated higher softness values when compared to
658 formulations composed of NaCMC, likely due to the greater concentration of polymer
659 in those systems.

660 Formulations containing 17.5% (w/w) polox407 displayed, in both cases, lower
661 smoothness when compared to the highest concentration of the same polymer. The
662 lowest concentration of HPMC, 3% (w/w), demonstrated the lowest softness index for
663 monopolymeric systems, with similar results to 4% (w/w) HPMC. For binary polymeric

664 systems containing NaCMC, the lowest softness index was found for the highest
 665 concentration of NaCMC - 1.5% (w/w), followed by 1.0% NaCMC. The most suitable
 666 systems for topicals with respect to softness index are those composed of 17.5% (w/w)
 667 polox407, suggesting that polox407 has the major contribution to this parameter.



668
 669 **Fig. 3.** Softness of binary systems composed of poloxamer 407 (polox407) and
 670 carboxymethylcellulose (NaCMC) or hydroxypropyl methylcellulose (HPMC)
 671 determined at 37 ° C. Each value represents the mean (\pm standard deviation) of at least
 672 three replicates.

673
 674 *3.7. Rheological-mechanical properties correlation*

675 The linear regression is frequently used to assess the strength of linear
 676 relationships between two variables (Schober & Schwarte, 2018). Therefore, it was used
 677 to evaluate the linear correlation between mechanical and continuous shear properties
 678 with r^2 -values ranging from 0.0072 to 0.9953 for HPMC systems and from 0.2407 to
 679 0.9801 for NaCMC formulations. Moreover, softness index was also linearly correlated
 680 with hardness and consistency index at 37 ° C, and the results are all displayed in Table
 681 7.

682 **Table 7**

683 Correlation between rheological (consistency index, yield value, flow behavior index) and mechanical properties (hardness, adhesiveness,
 684 compressibility and softness) of binary systems composed of poloxamer 407 (polox407) and hydroxypropyl methylcellulose (HPMC) or
 685 carboxymethylcellulose (NaCMC) at temperatures of 5, 25 and 37 ° C.

686

Mechanical or rheological parameter	Temperature (°C)	Polymeric systems	
		polox407/HPMC	polox407/NaCMC
<i>r</i> ² -value			
Hardness			
Consistence index	5	0.0072	0.255
	25	0.5728	0.7953
	37	0.6936	0.9048
Hardness			
Yield value	5	-	0.9301
	25	0.6527	0.8557
	37	0.0117	0.3911
Adhesiveness			
Flow behavior index (n)	5	0.0489	0.2407
	25	0.7087	0.7128
	37	0.4492	0.7648
Compressibility			
Hardness	5	0.8957	0.9018
	25	0.9951	0.9544
	37	0.9953	0.9801
Softness			
Hardness	37	0.7169	0.5365
Softness			
Consistency index	37	0.9871	0.3131

687 Generally, negative correlations were not observed. Rheological and mechanical
688 parameters were not strongly correlated for systems composed of polox407 and
689 cellulose derivatives, with a r^2 frequently lower than 0.90. However, formulations
690 containing NaCMC demonstrated a better correlation when compared with HPMC,
691 particularly at high temperatures.

692 The correlation between two mechanical responses (hardness and
693 compressibility) was the best fitted by linear regression, resulting in r^2 -values near 1,
694 since they came from the same TPA assay. It may be possible to predict compressibility
695 from hardness values by regression.

696 Consistency index and hardness are parameters which try to infer how structured
697 the semi-solid systems were using two different approaches. It was seen that both
698 systems are better correlated at 25 and 37 °C, where the formulations are in the gel
699 state. The yield value infers the necessary stress for a sample start flowing, which is
700 intuitively related to the hardness. Thus, a poor correlation was observed between those
701 parameters for cellulose derivative formulations at 5 °C, mainly for HPMC samples,
702 emphasizing the low interaction between polox407 and HPMC at this temperature. The
703 systems showed a better correlation between those parameters at 25 °C, which
704 decreased at 37 °C, demonstrating that yield value and hardness are more poorly
705 correlated above gelation temperature. On the other hand, flow behavior index and
706 adhesiveness demonstrated a good correlation at 25 and 37 °C. Therefore, adhesiveness
707 is improved above gelation temperatures, where the micellization process makes them
708 more structured.

709 Softness, hardness and consistency index are parameters able to evaluate similar
710 properties, such as the ability of removing the formulation from the packaging material,
711 application at a specific site and smoothness during administration (Tuğcu-Demiröz,

712 Acartürk, & Erdoğan, 2013). Correlating softness with hardness and consistency index
713 at 37 °C, HPMC systems showed good correlation values, with better predictability than
714 NaCMC. Emphasizing the necessity of the softness assay as complementary to TPA, it
715 was seen that hardness and softness were well correlated, with r^2 -values of $r^2=0.7169$
716 for HPMC binary systems and $r^2=0.5365$ for NaCMC binary systems (Barbosa et al.,
717 2017). Increasing hardness or consistency index linearly increased the softness
718 response, but it is not possible to predict softness from hardness or consistency index,
719 except for HPMC binary systems where softness was well correlated with consistency
720 index values ($r^2=0.9871$).

721 While good correlations between rheological and mechanical properties were
722 not demonstrated for most studied parameters, it is important to consider that
723 rheological responses may be more sensitive and able to detect possible interactions
724 between the components of the semi-solid systems more effectively, and better able to
725 investigate the organization of the formulations in a nanoscale (de Francisco et al.,
726 2019).

727

728 **4. Conclusions**

729 Binary polymeric systems composed of polox407 and HPMC or NaCMC were
730 developed and characterized by mechanical and rheological analyses. It was possible to
731 probe the interactions between polox407 and the cellulose derivatives through
732 measurements of rheological synergisms, $T_{sol/gel}$, and changes in consistency, for
733 example. All properties were valuable in the selection of suitable systems for
734 biomedical and pharmaceutical applications. However, $T_{sol/gel}$ and rheological
735 interaction parameters were especially useful to select the most suitable formulations for
736 local application. The most appropriate formulations were those with higher polymer

737 content, which then studied to investigate their softness profile. Through this process,
738 the formulations composed of 17.5/1.5 polox407 and NaCMC, as well as those
739 composed of 17.5/3 polox407 and HPMC were identified as potential materials for
740 topical administration of drugs.

741 All mechanical and rheological properties were temperature and polymer
742 concentration dependent. Most of the systems exhibited plastic behavior, with yield
743 observed at high temperatures. The formulations also presented rheopectic and
744 thixotropic properties, being most thixotropic at high polymer concentration and 37 °C.
745 Oscillatory rheology predicts that they are able to be retained at the application site,
746 improving drug delivery, since most of them were viscoelastic materials at 37 °C.
747 Considering $T_{sol/gel}$, most formulations were considered appropriate to ensure ease of
748 application and good retention, forming gels above 22 °C. The rheological interaction
749 parameter demonstrated that at 5 °C the systems were not synergic, whereas the
750 interaction between polox407 and HPMC or NaCMC was improved at 25 and 37 °C.
751 Additionally, the linear correlation among mechanical and rheological properties was
752 typically poor when compared to the correlation among mechanical properties, such as
753 hardness and compressibility or softness.

754 Therefore, considering all the findings, it may be suggested that systems
755 containing higher polymeric concentrations like 17.5/3; 17.5/4; 20/3 and 20/4 for
756 HPMC and 17.5/1; 17.5/1.5; 20/1 and 20/1.5 for those containing NaCMC had
757 advantageous properties for thermoresponsive gels. For local or topical administration
758 in pharmaceutical or biomedical applications, systems containing 17.5 % (w/w)
759 polox407, with 3% (w/w) HPMC and 1% (w/w) NaCMC were most appropriate.

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767

768 **Appendix A. Supplementary data**

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770 **References**

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